



H-597-04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Reissue Application of:

K. OZAWA et al

Serial No.: 10/614,808

Group Art Unit: 2186

Filed:

July 9, 2003

Examiner: Unassigned

For:

EXTERNAL STORAGE CONTROL DEVICE AND DATA TRANSFER METHOD BETWEEN EXTERNAL STORAGE

CONTROL DEVICES

INFORMATION DISCLOSURE STATEMENT (IDS) UNDER § 1.97 AND § 1.98

Commissioner for Patents Mail Stop Reïssue Alexandria, VA 22313-1450 Sir:

December 28, 2005

1. This IDS should be considered:

- (a) when filed within three months of the filing date of the present application, or within three months of the filing date of the National Stage as set forth in § 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last;
- (b) when filed before the mailing date of either a Final Rejection under § 1.113 or a Notice of Allowance under § 1.311, whichever occurs first and when 1(a) does not apply. For this purpose, there is included herein either a certification in section 4 below (included when indicated by a marked box), or a fee of \$180.00 (a check in the amount of \$180.00 is enclosed, or if not see section 5 below);
- (c) when filed prior to the payment of the Issue Fee, when 1(a)-(b) do not apply, and when a certification is included in section 4 below (included when indicated by a marked box); then the Applicant(s) hereby petition(s) and request(s) consideration of this IDS, and provided herewith is

a fee of \$180.00 (a check in the amount of \$180.00 to cover the petition fee, or if not see section 5 below).

- 2. When 1(a)-(c) do not apply, then it is requested that this IDS be placed in the file.
- 3. Listing of the information submitted is on the attached Form PTO-1449, which forms a part of this IDS. A copy of each listed document is enclosed.
 - 4. The undersigned hereby states:
- ☐ (a) that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application, as indicated on the copy of the communication submitted herewith, which communication was dated not more than three months prior to the filing of this IDS; or
- \square (b) that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of this IDS.
- 5. No explanation of relevancy is being provided for the documents because each is in the English language and their relevance is as stated in a communication from a foreign patent office in a counterpart foreign application, copy enclosed.
- 6. If the PTO determines that part(s) of the required content is inadvertently omitted, then it is requested that

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the Applicant(s) be given additional time and specific identification of such omission(s) to enable full compliance.

Respectfully submitted,

Daniel J Stanger Registration No 32,846

MATTINGLY, STANGER, MALUR & BRUNDIDGE, P.C. 1800 Diagonal Rd., Suite 370 Alexandria, Virginia 22314 (703) 684-1120

Date: December 28, 2005

		DEC S 8 JU					Sheet	. 1	of 1	
FORM PTO (REV. 7-80)		THATE THATE	U.S. DEPAR PATENT AN	TMENT OF COMMERCE	H-597-04		Sheet <u>1</u> of <u>1</u> SERIAL NO. 10/614,808			
	IST		TS CITED BY	APPLICANT	APPLICANT K. OZAWA et al			_		
LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)					FILING DATE GROUP					
			<u>.</u>		July 9, 2003	REIS	SUE			
				U.S. PATENT	DOCUMENTS					
* EXAMINER INITIAL			DATE		NAME	CLASS	SUBCLASS	FILING DATE (If Appropriate)		
	AA	5,802,345	09/1998	N. Matsunami	et al.					
	АВ									
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		DOCUMENT	DATE		COUNTRY	CLASS	SUBCLASS	YES	NO	
	AL	7-271521	1995	JAPAN (w/U.S. equivalent pa	5,802,345 tent see above)					
	АМ	7-121307	1995	JAPAN				\boxtimes		
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			OTHER DOC	JMENTS (Including Au	thor, Title, Date, Pertinent	Pages, etc.)				
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.